



Risk Advisory and Compliance Practice Overview

In today's fast-paced environment, increased scrutiny by shareholders, boards of directors, regulators and lenders, has made the Internal Audit function critically important. Finding experienced and qualified auditors has become increasingly difficult as the demand for auditors has surged. Additionally, developing and maintaining a staff with the breadth of expertise required to handle all potential issues your organization might face can become cost-prohibitive.

CRC can provide solutions for all your Internal Audit organization's needs, whether you are considering a full-outsourcing of the Internal Audit function, supplementing your existing organization through a co-sourcing arrangement, or seeking a partner to work with you on an individual project basis.



Internal Audit

Flexibility and experience to manage and direct your entire audit function or furnish one-time subject matter expertise for individual audit projects.

- **Full outsourcing**—We independently execute your entire internal audit process and report to your senior management team and/or board of directors.
- **Co-sourcing**—We work with your in-house team to assist in developing and/or executing your annual internal audit plan.
- **Project-based**—We deliver on a discrete project, based on a plan approved by your internal audit organization's management team.
- **Leadership**—Our senior managers lead and provide training to your in-house staffers.
- **Control self-assessments**

IT Assurance

Technical knowledge and experience to align the company's IT control environment with the organization's overall control environment.

- Data security audits, including ACF2, RACF, and TSS
- System controls review
- System development participation
- Network security assessments
- Vulnerability assessment and penetration testing
- Disaster recovery planning
- SSAE 16 assistance

Risk Advisory and Risk Management

Experience to identify the risks your organization faces, utilizing a top-down risk-based approach.

- Enterprise risk assessments
- Manufacturing process
- Logistics and supply
- Remote sites
- Forecasting
- Credit risk management
- Financial reporting process audits
- Treasury risk management
- ISO 31000 risk management

Sarbanes-Oxley

Experienced people using tested tools, methodologies, established frameworks and best practices to help your organization successfully comply with the Sarbanes-Oxley Act.

- Section 302 and 404 certification
- Regulatory compliance
- SOX software selection, implementation and maintenance
- COSO and COBIT expertise
- PCAOB AS5 and SEC Internal Control Guidance interpretation

Governance and Compliance

Proven and consistent approach to ensure compliance with key regulatory and contractual requirements.

- Health Insurance Portability and Accountability Act (HIPAA)
- Anti-Money Laundering (AML) and Bankers Secrecy Act (BSA)
- Vendor, Royalty, or Construction Contracts, etc.
- Conflict Minerals (Dodd-Frank Section 1502)

About CRC

CRC is a professional service firm specializing in compliance, risk advisory, and consulting. Our firm's experienced partners and consultants have been CFOs, CAEs, and Senior Finance and Accounting professionals with Fortune 500 companies, Big Four accounting firms, and middle-market companies. CRC services clients in a wide range of industries, including technology, telecommunications, life sciences, manufacturing, entertainment, financial services, venture capital, retail, professional services, and non-profit. CRC is an Independent Member of the BDO Alliance USA. BDO International is the fifth largest accounting network, with over 59,000 professionals in 152 countries worldwide.



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